

**COMBINED DECLARATION FOR PATENT APPLICATION  
AND POWER OF ATTORNEY**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME**

the specification of which (check one) ☒ was filed on August 28, 2001 as Application Serial No. 09/941,992 and was amended on \_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate have a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s):

Priority Claimed

Yes      No

| Number | Country | Day/Month/Year Filed |
|--------|---------|----------------------|
|        |         |                      |

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications(s) listed below:

**Please see attached Appendix A (Listing 144 U.S. Provisional Patent Applications)**

| Application Ser. No. | Filing Date |
|----------------------|-------------|
|                      |             |

I hereby claim the benefit under Title 35, United States Code, §120 of any United States applications(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

**Please see attached Appendix B (Listing 26 U.S. Applications and 43 PCT Applications)**

| Application Ser. No. | Filing Date | Status: Patented, Pending, Abandoned |
|----------------------|-------------|--------------------------------------|
|                      |             |                                      |

| Application Ser. No. | Filing Date | Status: Patented, Pending, Abandoned |
|----------------------|-------------|--------------------------------------|
|                      |             |                                      |

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint all Attorney(s) and/or Agent(s) associated with the following Patent Office Issued Customer Number to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.



09157

PATENT TRADEMARK OFFICE

Paul E. Rauch, Ph.D., Reg. No. 38,591, CUSTOMER NO. 28,442

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I hereby declare that all statements made herein of my own knowledge and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

The undersigned hereby authorizes the U.S. attorney or agent named herein to accept and follow instructions from his foreign patent agent as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorney or agent and the undersigned. In the event of a change in the persons from whom instructions may be taken, the U.S. attorney or agent named herein will be so notified by the undersigned.

Full name of sole or first inventor

Avi J. Ashkenazi

Inventor's signature

*Avi J. Ashkenazi*

Date

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David Botstein

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*David Botstein*

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Luc Desnoyers

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Full name of fifth joint inventor, if any

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Full name of sixth joint inventor, if any

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Full name of seventh joint inventor, if any

Sherman Fong

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10/1/01

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19 Basinside Way, Alameda, CA 94502

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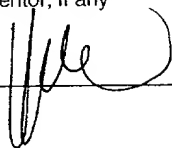
Post Office Address

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Full name of eighth joint inventor, if any

Hanspeter Gerber



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Inventor's signature

Date

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Full name of ninth joint inventor, if any

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Full name of tenth joint inventor, if any

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Date



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Citizenship

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Post Office Address

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Full name of twelfth joint inventor, if any

Paul J. Godowski

Inventor's signature

Date

10/1/01

Residence

25 Orange Court, Hillsborough, CA 94010

Citizenship

US

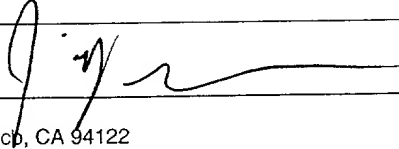
Post Office Address

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Full name of thirteenth joint inventor, if any

J. Christopher Grimaldi

Inventor's signature



Date

10/2/01

Residence

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Citizenship

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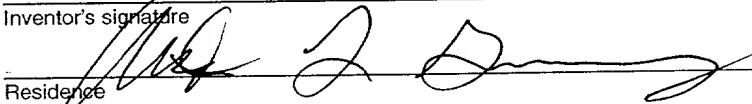
Post Office Address

1 DNA Way  
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Full name of fourteenth joint inventor, if any

Austin L. Gurney

Inventor's signature



Date

10/01/01

Residence

1 Debbie Lane, Belmont, CA 94002

Citizenship

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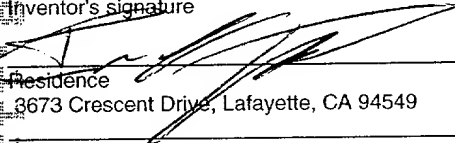
Post Office Address

1 DNA Way  
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Full name of fifteenth joint inventor, if any

Ivar J. Kljavin

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Date

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Full name of sixteenth joint inventor, if any

Mary A. Napier

Inventor's signature

Date

Residence

1015 Hayne Road, Hillsborough, CA 94010

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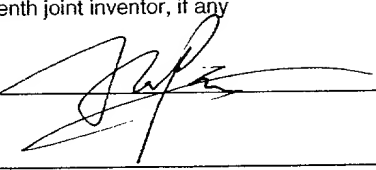
Post Office Address

1 DNA Way  
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Full name of seventeenth joint inventor, if any

James Pan

Inventor's signature



10/01/01

Date

Residence

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Full name of eighteenth joint inventor, if any

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Date

Residence

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1 DNA Way  
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Full name of nineteenth joint inventor, if any

Margaret Ann Roy

Inventor's signature

Date

Residence

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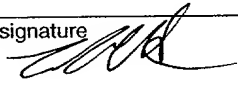
Post Office Address

1 DNA Way  
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Full name of twentieth joint inventor, if any

Timothy A. Stewart

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Date

10-2-01

Residence

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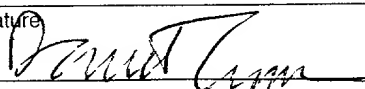
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Full name of twenty-first joint inventor, if any

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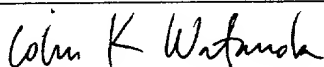
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Full name of twenty-second joint inventor, if any

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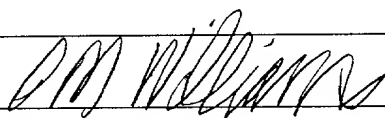
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Full name of twenty-third joint inventor, if any

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10/2/2001

Residence

509 Alto Avenue, Half Moon Bay, CA 94019

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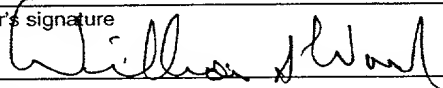
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Full name of twentieth-fifth joint inventor, if any

Zemin Zhang

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Date \_\_\_\_\_

Date 9/28/01

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## Citizenship

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Figure 1: Schematic representation of the 1200 bp DNA fragment. The fragment contains several restriction sites: PstI, XbaI, KpnI, SmaI, SalI, BamHI, and EcoRI. The fragment is divided into two main regions: a 5' region (approx. 100-400 bp) and a 3' region (approx. 400-1200 bp). The 5' region contains a PstI site, a XbaI site, a KpnI site, a SmaI site, a SalI site, and a BamHI site. The 3' region contains a BamHI site, a SalI site, a SmaI site, a KpnI site, a XbaI site, and a PstI site. The fragment is flanked by PstI sites at both ends.



**APPENDIX A**

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications listed below:

1. 60/049787 Filed 6/16/97
2. 60/062250 Filed 10/17/97
3. 60/065186 Filed 11/12/97
4. 60/065311 Filed 11/13/97
5. 60/066770 Filed 11/24/97
6. 60/075945 Filed 2/25/98
7. 60/078910 Filed 3/20/98
8. 60/083322 Filed 4/28/98
9. 60/084600 Filed 5/7/98
10. 60/087106 Filed 5/28/98
11. 60/087607 Filed 6/2/98
12. 60/087609 Filed 6/2/98
13. 60/087759 Filed 6/2/98
14. 60/087827 Filed 6/3/98
15. 60/088021 Filed 6/4/98
16. 60/088025 Filed 6/4/98
17. 60/088026 Filed 6/4/98
18. 60/088028 Filed 6/4/98
19. 60/088029 Filed 6/4/98
20. 60/088030 Filed 6/4/98
21. 60/088033 Filed 6/4/98
22. 60/088326 Filed 6/4/98
23. 60/088167 Filed 6/5/98
24. 60/088202 Filed 6/5/98
25. 60/088212 Filed 6/5/98
26. 60/088217 Filed 6/5/98
27. 60/088655 Filed 6/9/98
28. 60/088734 Filed 6/10/98
29. 60/088738 Filed 6/10/98
30. 60/088742 Filed 6/10/98
31. 60/088810 Filed 6/10/98
32. 60/088824 Filed 6/10/98
33. 60/088826 Filed 6/10/98
34. 60/088858 Filed 6/11/98
35. 60/088861 Filed 6/11/98
36. 60/088876 Filed 6/11/98
37. 60/089105 Filed 6/12/98
38. 60/089440 Filed 6/16/98
39. 60/089512 Filed 6/16/98
40. 60/089514 Filed 6/16/98
41. 60/089532 Filed 6/17/98
42. 60/089538 Filed 6/17/98
43. 60/089598 Filed 6/17/98
44. 60/089599 Filed 6/17/98
45. 60/089600 Filed 6/17/98
46. 60/089653 Filed 6/17/98

47. 60/089801 Filed 6/18/98  
48. 60/089907 Filed 6/18/98  
49. 60/089908 Filed 6/18/98  
50. 60/089947 Filed 6/19/98  
51. 60/089948 Filed 6/19/98  
52. 60/089952 Filed 6/19/98  
53. 60/090246 Filed 6/22/98  
54. 60/090252 Filed 6/22/98  
55. 60/090254 Filed 6/22/98  
56. 60/090349 Filed 6/23/98  
57. 60/090355 Filed 6/23/98  
58. 60/090429 Filed 6/24/98  
59. 60/090431 Filed 6/24/98  
60. 60/090435 Filed 6/24/98  
61. 60/090444 Filed 6/24/98  
62. 60/090445 Filed 6/24/98  
63. 60/090472 Filed 6/24/98  
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71. 60/090694 Filed 6/25/98  
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79. 60/091519 Filed 7/2/98  
80. 60/091626 Filed 7/2/98  
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85. 60/091978 Filed 7/7/98  
86. 60/091982 Filed 7/7/98  
87. 60/092182 Filed 7/9/98  
88. 60/092472 Filed 7/10/98  
89. 60/093339 Filed 7/20/98  
90. 60/094651 Filed 7/30/98  
91. 60/095282 Filed 8/4/98  
92. 60/095285 Filed 8/4/98  
93. 60/095302 Filed 8/4/98  
94. 60/095318 Filed 8/4/98  
95. 60/095321 Filed 8/4/98

96. 60/095301 Filed 8/4/98
97. 60/095325 Filed 8/4/98
98. 60/095916 Filed 8/10/98
99. 60/095929 Filed 8/10/98
100. 60/096012 Filed 8/10/98
101. 60/096143 filed 8/11/98
102. 60/096146 Filed 8/11/98
103. 60/096329 Filed 8/12/98
104. 60/096757 Filed 8/17/98
105. 60/096766 Filed 8/17/98
106. 60/096768 Filed 8/17/98
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113. 60/096897 Filed 8/17/98
114. 60/096949 Filed 8/18/98
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119. 60/097141 Filed 8/19/98
120. 60/097218 Filed 8/20/98
121. 60/097661 Filed 8/24/98
122. 60/097952 Filed 8/26/98
123. 60/097954 Filed 8/26/98
124. 60/097955 Filed 8/26/98
125. 60/098014 Filed 8/26/98
126. 60/097971 Filed 8/26/98
127. 60/097974 Filed 8/26/98
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129. 60/097986 Filed 8/26/98
130. 60/097979 Filed 8/26/98
131. 60/098525 Filed 8/31/98
132. 60/100634 Filed 9/16/98
133. 60/100858 Filed 9/17/98
134. 60/113296 Filed 12/22/98
135. 60/123957 Filed 3/12/99
136. 60/141037 Filed 6/23/99
137. 60/143048 Filed 7/7/99
138. 60/144758 Filed 7/20/99
139. 60/145698 Filed 7/26/99
140. 60/146222 Filed 7/28/99
141. 60/149396 Filed 8/17/99
142. 60/158663 Filed 10/8/99
143. 60/213637 Filed 6/23/00
144. 60/230978 Filed 9/7/00

## **APPENDIX B**

I hereby claim the benefit under Title 35, United States Code, §120 of any United States applications listed below:

### **U.S. Patent Applications**

1. 08/743698 Filed 11/6/96
2. 08/876698 Filed 6/16/97, now abandoned
3. 08/965056 Filed 11/5/97, US Patent No: 6,271,198 issued: 8/7/01
4. 09/105413 Filed 6/26/98
5. 09/168978 Filed 10/7/98
5. 09/187368 Filed 11/6/98
6. 09/202054 Filed 12/7/98
1. 09/218517 filed 12/22/98, now abandoned
9. 09/254311 Filed 3/3/99
10. 09/254460 Filed 3/9/99, now abandoned
11. 09/267213 Filed 3/12/99, now abandoned
12. 09/284291 Filed 4/12/99, now abandoned
13. 09/380137 Filed 8/25/99, now abandoned
14. 09/380138 Filed 8/25/99, now abandoned
15. 09/380139 Filed 8/25/99
16. 09/403296 Filed 10/18/99, now abandoned
17. 09/423844 Filed 11/12/99, now abandoned
18. 09/664610 Filed 9/18/00, now abandoned
19. 09/665350 Filed 9/18/00
20. 09/709238 Filed 11/8/00
21. 09/808689 Filed 3/14/01, now abandoned
22. 09/854816 Filed 5/15/01
23. 09/866028 Filed 5/25/01
24. 09/866034 Filed 5/25/01
25. 09/872035 Filed 6/1/01
26. 09/882636 Filed 6/14/01, now abandoned

### **PCT International Patent Applications:**

1. PCT/US97/20069 Filed 11/5/97
2. PCT/US98/19330 Filed 9/16/98
3. PCT/US98/19437 Filed 9/17/98, now abandoned
4. PCT/US98/21141 Filed 10/7/98
5. PCT/US98/25108 Filed 12/1/98
6. PCT/US99/00106 Filed 1/5/99, now abandoned
7. PCT/US99/05028 Filed 3/8/99
8. PCT/US99/12252 Filed 6/2/99
9. PCT/US99/21090 Filed 9/15/99
10. PCT/US99/21547 Filed 9/15/99
11. PCT/US99/28313 Filed 11/30/99
12. PCT/US99/28301 Filed 12/1/99
13. PCT/US99/28634 Filed 12/1/99
14. PCT/US99/30095 Filed 12/16/99
15. PCT/US99/30911 Filed 12/20/99
16. PCT/US00/00219 Filed 1/5/00, now abandoned
17. PCT/US00/00376 Filed 1/6/00, now abandoned

18. PCT/US00/03565 Filed 2/11/00
19. PCT/US00/04341 Filed 2/18/00
20. PCT/US00/04414 Filed 2/22/00
21. PCT/US00/04914 Filed 2/24/00, now abandoned
22. PCT/US00/05004 Filed 2/24/00
23. PCT/US00/05841 Filed 3/2/00
24. PCT/US00/06319 Filed 3/10/00, now abandoned
25. PCT/US00/06884 Filed 3/15/00
26. PCT/US00/07377 Filed 3/20/00
27. PCT/US00/08439 Filed 3/30/00
28. PCT/US00/13358 Filed 5/15/00
29. PCT/US00/14042 Filed 5/22/00
30. PCT/US00/15264 Filed 6/2/00
31. PCT/US00/13705 Filed 5/17/00
32. PCT/US00/14941 Filed 5/30/00
33. PCT/US00/20710 Filed 7/28/00
34. PCT/US00/22031 Filed 8/11/00
35. PCT/US00/23522 Filed 8/23/00
36. PCT/US00/23328 Filed 8/24/00
37. PCT/US00/30952 Filed 11/8/00
38. PCT/US00/32678 Filed 12/1/00
39. PCT/US01/06520 Filed 2/28/01
40. PCT/US01/17800 Filed 6/1/01
41. PCT/US01/19692 Filed 6/20/01
42. PCT/US01/21066 Filed 6/29/01
43. PCT/US01/21735 Filed 7/9/01

# COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

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Prior Foreign Application(s):

Priority Claimed  
Yes    No

| Number  | Country | Day/Month/Year Filed |
|---|---------|----------------------|
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| Application Ser. No.  | Filing Date |
|---|-------------|
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| Application Ser. No.                      Filing Date                      Status: Patented, Pending, Abandoned |             |                                      |

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09157

PATENT TRADEMARK OFFICE

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**Please see attached Appendix A (Listing 144 U.S. Provisional Patent Applications)**

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the specification of which (check one) ☒ was filed on August 28, 2001 as Application Serial No. 09/941,992 and was amended on \_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate have a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s):

Priority Claimed  
Yes      No

| Number | Country | Day/Month/Year Filed |
|--------|---------|----------------------|
|        |         |                      |

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications(s) listed below:

**Please see attached Appendix A (Listing 144 U.S. Provisional Patent Applications)**

| Application Ser. No. | Filing Date |
|----------------------|-------------|
|                      |             |

I hereby claim the benefit under Title 35, United States Code, §120 of any United States applications(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

**Please see attached Appendix B (Listing 26 U.S. Applications and 43 PCT Applications)**

| Application Ser. No. | Filing Date | Status: Patented, Pending, Abandoned |
|----------------------|-------------|--------------------------------------|
|                      |             |                                      |

| Application Ser. No. | Filing Date | Status: Patented, Pending, Abandoned |
|----------------------|-------------|--------------------------------------|
|                      |             |                                      |

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint all Attorney(s) and/or Agent(s) associated with the following Patent Office Issued Customer Number to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.



**09157**

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I hereby declare that all statements made herein of my own knowledge and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

The undersigned hereby authorizes the U.S. attorney or agent named herein to accept and follow instructions from his foreign patent agent as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorney or agent and the undersigned. In the event of a change in the persons from whom instructions may be taken, the U.S. attorney or agent named herein will be so notified by the undersigned.

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| Variable            | Mean | SD   | Min | Max  | Skewness | Kurtosis | Normality |
|---------------------|------|------|-----|------|----------|----------|-----------|
| Age                 | 35.2 | 12.5 | 18  | 65   | 0.15     | 3.2      | 0.98      |
| Gender              | 1.2  | 0.4  | 1   | 2    | 0.05     | 3.0      | 0.99      |
| Education           | 12.5 | 2.1  | 9   | 16   | 0.25     | 3.5      | 0.97      |
| Income              | 1500 | 500  | 500 | 3000 | 0.35     | 3.8      | 0.96      |
| Marital Status      | 1.8  | 0.4  | 1   | 2    | 0.05     | 3.0      | 0.99      |
| Occupation          | 2.5  | 1.2  | 1   | 5    | 0.15     | 3.2      | 0.98      |
| Health Status       | 1.5  | 0.5  | 1   | 2    | 0.05     | 3.0      | 0.99      |
| Stress Level        | 3.2  | 1.5  | 1   | 5    | 0.25     | 3.5      | 0.97      |
| Life Satisfaction   | 4.5  | 1.2  | 3   | 6    | 0.15     | 3.2      | 0.98      |
| Resilience          | 2.8  | 1.0  | 1   | 4    | 0.25     | 3.5      | 0.97      |
| Optimism            | 3.5  | 1.2  | 2   | 5    | 0.15     | 3.2      | 0.98      |
| Emotional Stability | 1.8  | 0.5  | 1   | 2    | 0.05     | 3.0      | 0.99      |
| Self-Esteem         | 3.0  | 1.0  | 1   | 4    | 0.25     | 3.5      | 0.97      |
| Life Purpose        | 2.5  | 1.2  | 1   | 4    | 0.15     | 3.2      | 0.98      |
| Gratitude           | 3.8  | 1.0  | 2   | 5    | 0.15     | 3.2      | 0.98      |
| Forgiveness         | 3.2  | 1.2  | 2   | 5    | 0.25     | 3.5      | 0.97      |
| Resilience          | 2.8  | 1.0  | 1   | 4    | 0.25     | 3.5      | 0.97      |
| Optimism            | 3.5  | 1.2  | 2   | 5    | 0.15     | 3.2      | 0.98      |
| Emotional Stability | 1.8  | 0.5  | 1   | 2    | 0.05     | 3.0      | 0.99      |
| Self-Esteem         | 3.0  | 1.0  | 1   | 4    | 0.25     | 3.5      | 0.97      |
| Life Purpose        | 2.5  | 1.2  | 1   | 4    | 0.15     | 3.2      | 0.98      |
| Gratitude           | 3.8  | 1.0  | 2   | 5    | 0.15     | 3.2      | 0.98      |
| Forgiveness         | 3.2  | 1.2  | 2   | 5    | 0.25     | 3.5      | 0.97      |

**COMBINED DECLARATION FOR PATENT APPLICATION  
AND POWER OF ATTORNEY**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME**

the specification of which (check one) ☒ was filed on August 28, 2001 as Application Serial No. 09/941,992 and was amended on    (if applicable).

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| Number                        | Country | Day/Month/Year Filed | Priority Claimed |    |
|-------------------------------|---------|----------------------|------------------|----|
|                               |         |                      | Yes              | No |
| Prior Foreign Application(s): |         |                      |                  |    |

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications(s) listed below:

**Please see attached Appendix A (Listing 144 U.S. Provisional Patent Applications)**

| Application Ser. No. | Filing Date |
|----------------------|-------------|
|                      |             |

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**Please see attached Appendix B (Listing 26 U.S. Applications and 43 PCT Applications)**

| Application Ser. No. | Filing Date | Status: Patented, Pending, Abandoned |
|----------------------|-------------|--------------------------------------|
|                      |             |                                      |

| Application Ser. No. | Filing Date | Status: Patented, Pending, Abandoned |
|----------------------|-------------|--------------------------------------|
|                      |             |                                      |

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint all Attorney(s) and/or Agent(s) associated with the following Patent Office Issued Customer Number to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.



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I hereby declare that all statements made herein of my own knowledge and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

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